

Abstract

This conference on FinTech law and regulation aims to bring together practitioners from financial institutions, legal professionals and consultants as well as high-profile regulators and globally known academics in the FinTech and innovation field to jointly consider the impact of technology on financial institutions and their regulation.

Technology is transforming finance around the world at an unprecedented rate, offering new opportunities but also creating new risks. Following the global financial crisis in 2008, the speed of technological change increased rapidly. FinTech promises innovation and economic growth through the disruption of the traditional financial services business, embracing start-ups, established technology and e-commerce companies as well as incumbent financial firms. However, it also poses major challenges to the post-crisis regulatory paradigm, which has been more cautious. Financial regulators thus have to balance the traditional regulatory objectives of financial stability and consumer protection with the objectives of growth and innovation, resulting in a process of regulatory innovation including the use of technology (RegTech) and changes to existing regulatory frameworks, such as the establishment of **regulatory sandboxes** (safe havens in which firms test innovative technologies under regulatory exemptions).

The FinTech Law and Regulation conference organised by the ADA Chair in Financial Law (Inclusive Finance) together with Banque Internationale à Luxembourg (BIL) and the Luxembourg House of Financial Technology (LHoFT) addresses these regulatory issues, including, but not limited to, **big data, blockchain, crowdfunding, digital currencies and payment systems**.

Bringing together eminent FinTech regulators and renowned FinTech law and regulation scholars provides the background for a fruitful conference discussing the status quo of the law as well as the foreseeable regulatory developments in light of the enhanced tech dependency of financial institutions.



Venue
Banque Internationale à Luxembourg
BIL Headquarters
69, route d'Esch
L-2953 Luxembourg

For further information and registration,
please contact:
3x3@uni.lu

Participation fee:

250€

Early bird rate:

180€ (for registrations until 15 September 2017 only)

A limited number of waivers is available for students
and full-time academics.

Université du Luxembourg
Banque et Caisse d'Épargne d'État (BCEE)

IBAN: LU36 0019 2355 9899 3000
SWIFT: BCEELULL

Please note that your registration will only be valid
once we have received the participation fee of 250€
(normal rate) / 180€ (early bird rate) on our account
(indicating "C-AGR-1037-01" and your name as the
purpose of payment).

To allow time for processing, only payments made by
25 September 2017 will be accepted.

More information:
<http://bit.ly/2tDLCDH>

www.uni.lu

UNIVERSITY OF
FACULTY OF LAW, ECONOMICS AND FINANCE



INTERNATIONAL CONFERENCE

FinTech Law and Regulation

9 October 2017



Programme

08:30 - 09:00 Welcome

- Katalin Ligeti, Dean of FDEF, Uni.lu
- Representative LHoFT
- Representative BIL

09:00 - 11:00 BIG DATA - with Q&A + Panel Discussion

- CHAIR: Douglas W. Arner, University of Hong Kong
- Jean-Louis Schiltz, Uni.lu:
Digital Identities and their Role in Financial Services and Payments
- Ross Buckley, UNSW Sydney:
From FinTech to TechFin: The Regulatory Challenges of Data-Driven Finance
- Lee Reiners, Duke University:
Regulatory Challenges in Centralizing Data Management
- Mark Cole, Uni.lu:
FinTech, Big Data and the GDPR

11:00 - 11:15 Coffee Break

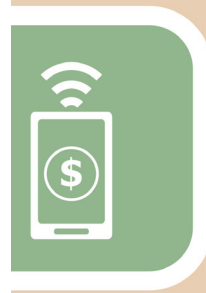
11:15 - 12:40 BLOCKCHAIN & DISTRIBUTED LEDGERS - with Q&A + Panel Discussion

- CHAIR: Jean-Louis Schiltz, Uni.lu
- Patrick Armstrong, European Securities and Markets Authority:
ESMA's Distributed Ledger Initiative
- Philipp Paech, London School of Economics:
FinTech, Clearing and the Law
- Dirk Zetsche, Uni.lu:
Blockchain & Liability

12:40 - 13:40 Lunch break

13:40 - 14:10 REGTECH - with Q&A

- CHAIR: Ross Buckley, UNSW Sydney
- Douglas W. Arner, University of Hong Kong:
RegTech



14:10 - 15:45 REGULATING INNOVATION - with Q&A + Panel Discussion

- CHAIR: Dirk Zetsche, Uni.lu
- Jon Frost, Financial Stability Board:
The FSB's FinTech Approach and Projects
- Nadia Manzari, Commission de Surveillance du Secteur Financier, Luxembourg:
The CSSF's Approach to Supervising FinTech
- Matthias Lehmann, University of Bonn:
FinTech and Jurisdiction

15:45 - 16:00 Coffee Break

16:00 - 16:55 PAYMENTS & DIGITAL CURRENCIES - with Q&A

- CHAIR: Mark Cole, Uni.lu
- Sandra Booyen, NU Singapore:
The Cheque is in the Post - or is it? The Changing Payments Landscape
- André Prüm, Uni.lu:
Regulation of Digital Currencies

16:55 - 17:50 CROWDFUNDING - with Q&A

- CHAIR: Katalin Ligeti, Uni.lu
- Kieran J. Garvey, University of Cambridge:
The Empirical Side of Crowdfunding in Europe
- Erik P. M. Vermeulen, University of Tilburg:
FinTech and the Financing of Entrepreneurs: From Crowdfunding to Marketplace Lending

17:50 - 18:15 CLOSING REMARKS: Funds & FinTech – QUO VADIS?

- Dirk Zetsche, Uni.lu